

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

475

In re Application of:  
Lawn, et al.

Serial No.: 09/971,976

Filed: October 4, 2001

For: **SYSTEM AND METHOD FOR  
ONLINE COLOR ALGORITHM  
EXCHANGE**

Group Art Unit: 2125

Examiner: Rodriguez, Paul L.

Attorney Docket No.: 32164689.10RCE

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant(s) hereby notify the U.S. Patent and Trademark Office of the document(s) which is/are listed on the attached Form PTO-SB/08A. Copies of the listed documents are submitted herewith.

**X** (1) This IDS does NOT require a fee or 37 C.F.R. § 1.97(e) statement because it is being filed (check all that apply):

       (a) Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); **OR**

       (b) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; **OR**

       (c) Before the mailing of a first Office action on the merits; **OR**

☒ (d) Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

\_\_\_\_ (2) This information disclosure statement is filed before the mailing date of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and (check only one):

\_\_\_\_ (a) Is accompanied by the fee set forth in § 1.17(p); **OR**

\_\_\_\_ (b) Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; **OR**

\_\_\_\_ (c) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

\_\_\_\_ (3) This Information disclosure statement is filed on or before payment of the issue fee and is accompanied by the fee set forth in § 1.17(p); **AND** (check only one):

\_\_\_\_ (a) Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; **OR**

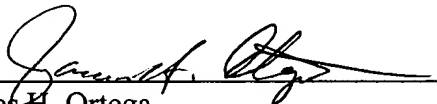
\_\_\_\_ (b) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to

the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant(s) does/do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Although Applicant does not believe any fees are due with the filing of this response, the Commissioner is hereby authorized to withdraw any deficiencies or credit any overpayment to Deposit Account No. 13-0480 (please reference 32164689.10RCE).

Respectfully submitted,

  
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February 6, 2004  
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